# Summary of Investors' Rights for NEF Fund, pursuant to Regulation (EU) 2019/1156 of the European Parliament and of the Council of 20 June 2019 on facilitating the cross-border distribution of collective investment undertakings

NEF is a Luxembourg domiciled UCITS fund, multi-manager and multi-compartment. Its management company is Nord Est Asset Management S.A. (hereinafter, "**NEAM**" or the "**Management Company**").
Persons interested in investing in one or more sub-funds of the NEF fund (hereinafter, the "**Sub-Fund**" or the "**Sub-Funds**", depending on the context) hold specific rights, which vary depending on the point in time at which they may be exercised.
Indeed, the investor has a general right to have the collective management service provided by the management company of the NEF fund in accordance with the general principles of diligence, fairness and transparency.

## Investors' Rights

By way of example and without limitation, these rights include the following:

- before investing in the Sub-Fund, the prospective investor is entitled to receive, free of charge and sufficiently in advance of the subscription, the key information document (KID) relating to the Sub-Fund and the relevant unit category, as well as to access the prospectus of the NEF fund (the "**Prospectus**") and the other information required by applicable legislation and the offering documentation. Among other things, the KID describes the characteristics of the product, the risks, the costs and the applicable performance scenarios;

- at the time of investment, the investor is entitled to have the subscription transaction executed in accordance with the management regulations and the Prospectus and within the time limits specified therein;

- during the investment, the investor is entitled to have the collective management service provided in accordance with the management regulations and the Prospectus, including with regard to the methods for calculating the value of the units and the equal treatment of investors. The investor is also entitled to receive or obtain free of charge the accounting and periodic documentation of the Fund, including the annual and semi-annual reports, and updates to the offering documentation. The investor may request the total or partial redemption of the units held and, where provided for the relevant Sub-Fund and unit category, the total or partial conversion into units of another Sub-Fund, or may be entitled to receive dividends. These transactions are carried out in accordance with the procedures, conditions, any applicable fees and time limits set out in the

offering documentation. The Prospectus governs the cases and procedures under which redemptions may be suspended, subject to the conditions established by applicable legislation, pursuant to Section 3, "*Suspension of the calculation*", chapter "*Determination of the Net Asset Value per Unit*" of the Prospectus. The Prospectus governs the procedures, time limits and all other information relating to the payment of dividends.

From the time they invest in the Sub-Fund, individual investors are entitled, pursuant to Articles 12 and 14 to 22 of Regulation (EU) 2016/679, to exercise the rights of access, rectification, erasure, restriction of processing, data portability and objection, within the limits and subject to the conditions established by applicable legislation. Requests must be sent in writing to the Management Company at legal@neam.lu.

Investors are also entitled to submit complaints:

1. to the *Commission nationale pour la protection des données* (CNPD), as the competent supervisory authority in Luxembourg, in the event of complaints concerning the manner in which their personal data are processed, or to the Italian Data Protection Authority (the *Autorità Garante per la protezione dei dati personali* — http://www.garanteprivacy.it);
2. to the Management Company, including through the distributors, in relation to the investor's participation in the Sub-Fund. The procedures for submitting complaints are available on the website neam.lu, in the section *Fund – Documents – Complaints Handling Policy*.

Investors are entitled to bring proceedings before the competent courts for the protection of their rights and interests and to use, where available, alternative dispute-resolution procedures or other means of redress provided for by applicable legislation.

**Additional Information**

This summary is provided for informational purposes only and must be read together with the management regulations, the Prospectus, the KID and the other communications applicable to the relevant Sub-Fund and unit category. In the event of any conflict, applicable legislation and the Prospectus shall prevail. The Management Company reserves the right, at any time, to terminate any agreement that may have been entered into for the distribution of units of the fund and/or of the fund's unit categories in a Member State other than Luxembourg.

The content of this document does not constitute a recommendation to take any action and does not replace personalised investment advice provided by a qualified professional or by the Fund's distributor. This document was drafted and prepared by the Management Company, which does not guarantee that it is up to date, accurate or complete.

The Management Company shall not be liable for any damage or loss arising, directly or

indirectly, from the dissemination or use of this document or its content.

November 2026